



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

November 18, 2004

NIXON PEABODY, LLP
401 9TH STREET, NW
SUITE 900
WASHINGTON, DC 20004-2128
US

Dear Sir/Madam,

Your refund request for 10603019 in the amount of \$8.00 has been denied .

I have recounted the claims and they are correct.

Also see paper enclosed, we made no charge to your account on October 29,2003

Sincerely,

ELEANOR KURTZ
Technical Center Others
703 308-3642

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**MULTIPLE DEPENDENT CLAIM
FEE CALCULATION SHEET**

SERIAL NO.

FILING DATE

APPLICANT(S)

CLAIMS

	AS FILED		AFTER 1ST AMENDMENT		AFTER 2ND AMENDMENT	
	IND	DEP	IND	DEP	IND	DEP
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TOTAL IND.						
TOTAL DEP.	38					
TOTAL CLAIMS	47					

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TOTAL IND.						
TOTAL DEP.						
TOTAL CLAIMS						



Docket No.: 740756-2525

DIVISION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 OCT -5 PM 4:15

In re Patent Application of:

Shunpei YAMAZAKI et al.

Application No. 10/603,019

Filed: June 26, 2003

For: METHOD OF MANUFACTURING A
SEMICONDUCTOR DEVICE HAVING
THIN FILM TRANSISTOR AND
CAPACITOR (AS AMENDED)

Group Art Unit: 2818

Examiner: Dung-Anh LE

Confirmation No. 3291

Date: September 29, 2004

REQUEST FOR REFUNDMail Stop 16
Director
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

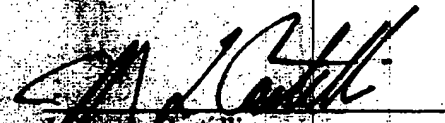
On October 29, 2003, a fee of \$8.00 (Fee Code 1202 - Claim in excess of 20) was charged against the undersigned's Deposit Account 19-2380 in connection with the above-identified application.

This fee was not due in this case because this application was filed at the U.S. PTO on June 26, 2003 with a total of three (3) claims, i.e., one independent claim and two dependent claims. Thus, it is believed that the charge of \$8.00 applied on October 29, 2003 is an error.

Attached is a copy of the Official Filing Receipt issued by the Patent & Trademark Office in this case which shows that the above-mentioned application was filed with a total of three (3) claims.

It is requested that a refund in the amount of \$8.00 be credited to Deposit Account 19-2380.

Respectfully submitted,


Joseph Costella
Registration No. 35,483Nixon Peabody LLP
401 9th Street, N.W.
Suite 900
Washington, D.C. 20004-2128
(202) 585-8000



UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address Correspondence and Filings
 P.O. Box 108
 Alexandria, Virginia 22313-1080

APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FILE FEE RECD	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/603,019	06/24/2003	2812	750	740756-2628	23	3	2

 22204
 NIXON PEABODY, LLP
 401 9TH STREET, NW
 SUITE 900
 WASHINGTON, DC 20004-2128

06/26/2003

 NOV 0 2003
 OX 11-1K-03

CONFIRMATION NO. 3291

FILING RECEIPT

0000000011182700

Date Mailed: 11/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-706-9765. Please provide a copy of this Filing Receipt with the changes noted thereon. If you receive a "Notice to Amend" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Shunpei Yamazaki, Tokyo, JAPAN;
 Takayuki Ikeda, Kanagawa, JAPAN;
 Takeshi Fukunaga, Kanagawa, JAPAN;

Assignment For Published Patent Application

SEMICONDUCTOR ENERGY LABORATORY CO., LTD., Atsugi-shi, JAPAN;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/493,411 dated 2000 PAT 6,593,592

Foreign Applications

JAPAN 11-022298 01/28/1999

If Required, Foreign Filing License Granted: 11/04/2003

Projected Publication Date: 02/12/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor device and method of fabricating the same

Preliminary Class

438

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government control or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries or areas, agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-125)); the Office of Export Administration, Department of Commerce (48 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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United States
Patent and
Trademark Office

*Entered
provisionally*

Deposit Account Statement

Requested Statement Month:

Deposit Account Number:

Name:

Attention:

Address:

City:

State:

Zip:

October 2003

182380

NIXON PEABODY LLP

JENNIFER STEWART

401 9TH STREET N.W.

WASHINGTON

DC

20004

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/01	146	09055916	042-155	007	\$20.00	\$13,413.00
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10/03	158	80234784	002-001-00002812	007	\$20.00	\$11,948.00
10/08	3	75517100		004	\$750.00	\$11,128.00
10/10	150	10210000		005	\$210.00	\$10,577.00

10/10 451	10219623	DA0026Q21	1800	\$180.00	\$10,297.00
10/10 337	09850735		850	\$20.00	\$10,331.00
10/11 1					
10/15 65	10219623		2262	\$210.00	\$10,281.00
10/15 66	10219623		1800	\$180.00	\$10,461.00
10/15 148	1051828		6800	\$180.00	\$10,091.00
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10/17 9	09870000		1800	\$180.00	\$9,525.00
10/17 54	10159453	2549-002	157	\$55.00	\$9,210.00
10/17 55	10159453	2549-002	105	\$150.00	\$9,030.00
10/20 25	09826307	74011-020	1251	\$110.00	\$8,406.00
10/21 25	09542473	74011-020	1252	\$10.00	\$8,594.00
10/21 134	76008700	74011-020	6403	\$100.00	\$8,284.00
10/22 10	09412010				
10/27 3	09834687		9204	\$980.00	\$8,344.00
10/27 4	09834687		2253	\$465.00	\$7,879.00
10/27 187	10125572	740189-40	1282	\$420.00	\$7,459.00
10/28 11	09544815	740145-148	1251	\$110.00	\$7,349.00
10/28 488	PCT/UJ83/2	740145-148	1450	\$130.00	\$7,219.00
10/31 3	09865668	740107-148	1251	\$110.00	\$6,929.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REFRESH	BALANCE
\$13,433.00	\$5,734.00	\$1,230.00	\$6,929.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dep & Ref Pm 307
Docket No.: 740756-2628
DIVISION

2004 OCT -5 PM 4:15

In re Patent Application of:)
Shunpei YAMAZAKI et al.) Group Art Unit: 2818
Application No. 10/603,019) Examiner: Dung-Anh LE
Filed: June 26, 2003) Confirmation No. 3291
For: METHOD OF MANUFACTURING A) Date: September 29, 2004
SEMICONDUCTOR DEVICE HAVING)
THIN FILM TRANSISTOR AND)
CAPACITOR (AS AMENDED))

REQUEST FOR REFUND

Mail Stop 16
Director
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


On October 29, 2003, a fee of \$8.00 (Fee Code 1202 - Claim in excess of 20) was charged against the undersigned's Deposit Account 19-2380 in connection with the above-identified application.

This fee was not due in this case because this application was filed at the U.S. PTO on June 26, 2003 with a total of three (3) claims, i.e., one independent claim and two dependent claims. Thus, it is believed that the charge of \$8.00 applied on October 29, 2003 is an error.

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It is requested that a refund in the amount of \$8.00 be credited to Deposit Account 19-2380.

Respectfully submitted,


Thomas L. Costella
Registration No. 35,483

Nixon Peabody LLP
401 9th Street, N.W.
Suite 900
Washington, D.C. 20004-2128
(202) 585-8000

AUG 27 2004
PATENT & TRADEMARK OFFICE

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	10/603,019
Filing Date	June 26, 2004
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2818
Examiner Name	LE, Dung-Anh
Attorney Docket Number	740756-2626

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.
NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☒ Information Disclosure Statement (IDS)

iv. ☐ Submission of Formal Drawings

v. ☒ Petition for TWO-month Extension of Time

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(e) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(I) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, **\$1,672.00**, additional fees which may be required, or credit any overpayments, to Deposit Account No. 19-2380. A duplicate copy of this sheet is enclosed.

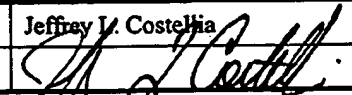
i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Jeffrey I. Costello	Registration No. (Attorney/Agent)	35,483
Signature		Date	August 27, 2004

CERTIFICATE OF MAILING OR TRANSMISSION
[37 CFR 1.8(a)]

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at _____, on _____.

Signature: _____

Name: _____

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SEND TO: Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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